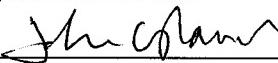


**CERTIFICATE OF ELECTRONIC FILING**

I hereby certify that this correspondence is being filed electronically with the U.S. Patent  
and Trademark Office on the below date:  
Date November 14, 2007 Name: John G. Rauch Signature: 

Our Case No. 10790/4

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
Curt Conklin, et al. )  
Serial No. 09/896,760 ) Examiner: Poinvil, Frantzy  
Filing Date: June 29, 2001 ) Group Art Unit No. 3692  
For METHOD AND SYSTEM FOR ) Confirmation No. 2007  
ENHANCED DISTRIBUTION OF )  
FINANCIAL INSTRUMENTS )

**AMENDMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action dated August 14, 2007, please amend the application as follows:

**Amendments to the Claims** are reflected in the listing of the claims which begins on page 2 of this paper.

**Remarks** begin on page 15 of this paper.